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PATENT

PR-US010482

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Hilmer RAUHE et al.

Serial No.: 09/937,727  
(Int'l Serial No.: PCT/EP00/02893)

Filing Date: September 28, 2001  
(Int'l Filing Date: March 31, 1999)

For: INFORMATION-CARRYING  
AND INFORMATION-  
PROCESSING POLYMERS

Attn.: BOX MISSING PARTS

COMPLETION OF APPLICATION UNDER 37 CFR §1.53

**BOX MISSING PARTS**

Assistant Commissioner of Patents  
Washington, DC 20231

Sir:

In response to the Communication dated April 18, 2002, copy attached, submitted herewith are:

- ☒ [X] An executed Declaration and Power of Attorney.
- ☒ [X] An Assignment of the invention to Hilmar RAUHE.
- ☒ [X] A Resubmission of Sequence Listing – with one (1) Floppy Disk.
- ☒ [X] A check in the amount of \$170.00 is attached to cover the surcharge fee of \$130.00, and the Assignment recording fee of \$40.00.
- ☒ [X] The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No.: 50-1836. A duplicate copy of this sheet is attached.
  - ☒ [X] Any additional patent application processing fees under 37 CFR 1.16.
  - ☒ [X] Any additional patent application processing fees under 37 CFR 1.17.

06/20/2002 LLANDGRA 00000069 09937727

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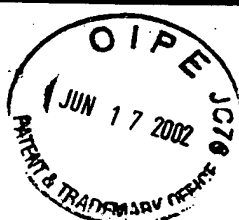
Respectfully submitted,

Todd M. Guise  
Reg. No. 46,748

SHINJYU GLOBAL IP COUNSELORS, LLP  
1233 Twentieth Street, NW, Suite 700  
Washington, DC 20036  
(202)-293-0444

Dated: 6/17/02

G:JUN02-MOMPR-US010482 Completion of Application



# COPY



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT  
United States Patent and Trademark Office  
Washington, D.C. 20231  
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/937,727	Hilmer Rauhe	PR-US010482

INTERNATIONAL APPLICATION NO.

PCT/EP00/02893

I.A. FILING DATE

03/31/2000

PRIORITY DATE

03/31/1999

Todd M. Guise  
Shinju Global IP Counselors  
1233 Twentieth Street, N.W.  
Suite 700  
Washington, DC 20036

CONFIRMATION NO. 5285

371 FORMALITIES LETTER



\*OC00000007889930\*

Date Mailed: 04/18/2002

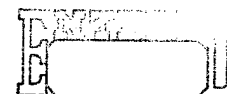
## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Biochemical Sequence Listing
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Oath or Declaration
- Preliminary Amendments

**RECEIVED**

APR 23 2002

SHINJU GLOBAL IP  
COUNSELORS, LLP

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months from the priority date (37 CFR 1.492(e)) is required.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

The following items **MUST** be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
  - A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).
  - APPLICANT MUST PROVIDE:
    - An initial or substitute computer readable form (CRF) of the "Sequence Listing."
    - A statement that the contents of the paper or compact disc and the computer readable form are the same and, where applicable, include no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b) or 1.825(d).
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
  - For Rules Interpretation, call (703) 308-4216
  - To Purchase PatentIn Software, call (703) 306-2600
  - For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Late oath or declaration Surcharge.
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

SHAKEEL AHMED

Telephone: (703) 305-3659

#### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/937,727	PCT/EP00/02893	PR-US010482